Remote Sensing of Sea Ice in the Northern Sea Route

Studies and Applications

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Preface

The Northern Sea Route (NSR) consists of the sailing routes in the Russian Arctic between the Barents Sea in the west and the Bering Strait in the east (Figure 1). It is the shortest sailing route linking northwestern Europe and northeastern Asia. The distance between Yokohama and Hamburg is almost half of that through the Suez Canal and 10–14 days in sailing time can be saved using the northern route. However, the presence of sea ice significantly hampers navigation. In winter the sailing routes are covered with ice, and even in summer some parts are ice-covered, especially in the Laptev and East Siberian Seas. Therefore, a fleet of conventional and nuclear Russian icebreakers supports safe and cost-effective ship transportation during the different seasons.

Hydrometeorological information, including sea ice data, is accordingly an essential and integral part of navigation safety. Therefore, the Northern Sea Route Administration, founded in 1932, established a service of hydrometeorological support for the region.

From the start of this service, polar aviation began ice reconnaissance to support all shipping transport in the Arctic. By 1935, all foreign aircraft—except *Dornier Wal* seaplanes which were used for ice reconnaissance until 1945—were replaced by aircraft manufactured in Russia. In the 1950s, visual airborne ice reconnaissance continued to be the main tool for sea ice monitoring and hydrometeorological services for Arctic navigation. Annually, 30–40 Russian aircraft carried out 500–700 ice reconnaissance flights. In 1964, the scientific and manufacturing association NPO Leninets began developing SLAR specifically for ice reconnaissance. In summer of 1966 AARI obtained the first TV images from *ESSA-2* and *Meteor-1*. In 1975 the first satellite of the Russian *Meteor-2* series was launched and used for sea ice monitoring. In September of 1983 the first satellite of the Russian *Okean-01* series with SLR (side-looking radar) was launched and, as a result, almost immediately shipping support became more efficient.

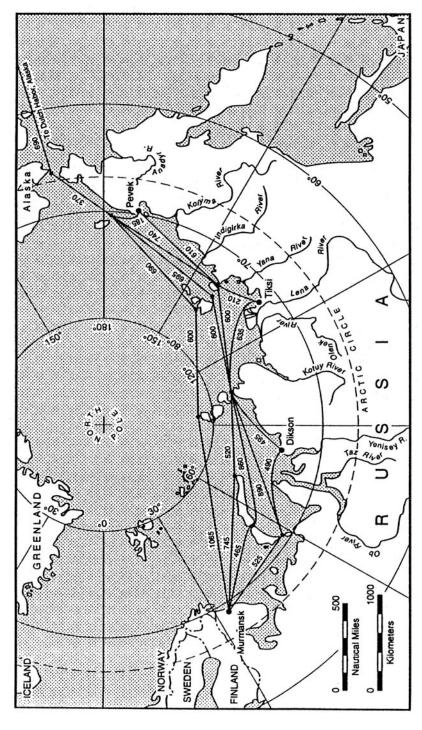


Figure 1. The major sailing routes along the NSR and distances between different sections in nautical miles.

Routine sea ice monitoring today is primarily based on satellite remote-sensing data in the optical and infrared range. However, weather- and light-independent satellite remote-sensing data in the microwave range significantly improved the availability of sea ice information. This began in the NSR in August 1991, just 2 weeks after the launch of the European Space Agency (ESA) *ERS-1* satellite, when real time synthetic aperture radar (SAR) images were transmitted to the French vessel *L'Astrolabe* to assist her navigation through the NSR from Europe to Japan. The *L'Astrolabe* expedition, headed by Pierre Sauvadet, included an *ERS-1* SAR project between ESA, with Guy Duchossois as *ERS-1* mission manager and the Nansen Environmental and Remote Sensing Center (NERSC) in Bergen, Norway, with Ola M. Johannessen as the project leader.

After the success of using SAR to route L'Astrolabe through the ice, the Nansen Centers in Bergen and St. Petersburg, and the Murmansk Shipping Company (MSC), conducted a number of campaigns to demonstrate the use of SAR for supporting the operations of the nuclear icebreakers of the MSC and their convoys. The principal project demonstrating the use of SAR in the NSR was "Icewatch" or, to give it its full title, "Real-Time Sea Ice Monitoring of the Northern Sea Route Using Satellite Radar Technology" that ran from 1994 to 1996. This was the first joint earth observation project between ESA and the Russian Space Agency (RKA). Partners in the "Icewatch Project"—in addition to ESA and RKA—were the Nansen Center in Bergen, the scientific and manufacturing association NPO Planeta and, later, the Scientific Research Centre for Exploration of Natural Resources (NITs IPR) in Moscow, the Arctic and Antarctic Research Institute (AARI) of Rosshydromet in St. Petersburg, the Scientific Foundation Nansen International Environmental and Remote Sensing Center in St. Petersburg and the Murmansk Shipping Company (MSC). Project leaders were Ola M. Johannessen of the Nansen Center and Alexey M. Volkov of the Scientific Research Center for Exploration of Natural Resources (NITs IPR) under RKA, with Guy Duchossois and Günther Kohlhammer of ESA and Georgy M. Polishuck of RKA as space agency representatives. Based on the "Icewatch Project" two ERS-1 and -2 SAR receiving stations were installed in the Russian Federation—one in Moscow and the other in Khantymansiisk in western Siberia.

Following on from the "Icewatch Project", SAR data from *ERS-1* and -2, the Canadian *Radarsat* and the ESA *Envisat* started to be used semi-operationally in the NSR jointly with ice monitoring from AARI. This is the basis for this book, which is jointly written by authors from NERSC, AARI, NIERSC and MSC. Half of the writing of this book was funded by ESA through José Achache when he was Director of Earth Observation in ESA and Joseph Aschbacher, Programme Coordinator at ESA, while the remaining half was financed by institutional funding.

This book was also a component of the EU/INTAS Climate and Environmental Change in the Arctic (CECA) project, which was led by Prof. Ola M. Johannessen,

¹ Mr. Alain Fournier-Sicre, Head of the ESA Permanent Mission in the Russian Federation, Moscow, was instrumental in facilitating the cooperation between the two space agencies.

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The Authors

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Abbreviations

AARI Arctic and Antarctic Research Institute
ACIA Arctic Climate Impact Assessment

AFC Aerial photo camera

AIISA Automated Ice Information System for the Arctic

AIJEX Arctic Ice Joint Experiment Study
AIRP Automated Information Receiving Point
AMSR Advanced Microwave Scanning Radiometer
ANSR Administration of the Northern Sea Route

AO Arctic Oscillation AP Alternating Polarization

APT Automatic Picture Transmission

ARCDEV ARCtic Demonstration and Exploratory Voyage

ARGOS Satellite-based location and environmental data collection

system

ARI Arctic Research Institute

ARKTOS Advanced system for classification of sea ice types in

satellite radar images

ARO Arctic Research Observatory

ASAR Advanced SAR
ASF Alaska SAR Facility

AVHRR 129, 149–150
BMP Windows BitMaM
CCG Canadian Coast Guard

CIHMI Center for Ice and Hydrometeorological Information

CIM Composite Ice Map
CIS Canadian Ice Service

CNSR Committee of the Northern Sea Route

DARMS Drifting Automatic Radio Meteorological Stations

xxviii Abbreviations

DMI Danish Meteorological Institute

DMSP Defense Meteorological Satellite Program

DN Digital Number

ECDIS Electronic Chart Display and Information System ECHAM-4 Max-Planck Institute for Meteorology in Hamburg,

Germany

ECNIS Electronic Cartographic Navigation Information System

EEA Exclusive Economic Area

EM ElectroMagnetic EO Earth Observation

EOS Earth Observation System

ERS European Remote Sensing satellite

ESA European Space Agency

ESMR Electrically Scanning Microwave Radiometer

ESTEC European Space Research and TEChnology Centre of ESA

ETSI Expert Team on Sea Ice

EU European Union

EUMETSAT EUropean organization for the exploration of

METeorological SATellites

FNMOC Fleet Numerical Meteorological and Oceanographic Center

FRI Full-Resolution Image FTP File Transfer Protocol

FYI First-Year Ice GHG GreenHouse Gas

GIF Graphic Interchange Format
GIS Geographical Information System
GLCM Gray Level Co-occurrence Matrix
GLONAS A global positioning system

GMES Global Monitoring for Environment and Security

GMT Greenwich Mean Time
GPR Ground Penetrating Radar
GPS Global Positioning System

HadCM3 Hadley Centre at the UK Met Office

HDF Hierarchical Data Format
HF High Frequency (radar)
HH Horizontal polarization
HMS HydroMeteorological Service

HRPT High-Resolution Picture Transmission

HV Transmission is made in one polarization and receiving in

another

IABP International Arctic Buoy Program

ICG Icelandic Coast Guard

ID IDentifier

IFREMER French Research Institute for Exploitation of the Sea

IICWG International Ice Charting Working Group

IIP International Ice Patrol

IMO Icelandic Meteorological Office

IPCC Intergovernmental Panel on Climate Change

IR imager InfraRed radiometer

IR InfraRed

JPEG Joint Photographic Expert Group (file format) KSAT Kongsberg SATellite Services, Tromsø, Norway

LDA Linear Discriminant Analysis
LFO Low-Frequency Oscillation
LKF Linear Kinematic Feature
LNG Liquefied Natural Gas
LRI Low-Resolution Image

MANSR Main Administration of the Northern Sea Route

MCC Multiple Cross Correlation

met.no Norwegian Meteorological Institute

MIZ Marginal Ice Zone
MLP Multi-Layer Perceptron
MMF Ministry of Marine Fleet

MODIS MODerate-resolution Imaging Spectroradiometer

MOH Marine Operations Headquarters
MOS Japanese Marine Observation Satellite
MSC Meteorological Service of Canada
MSC Murmansk Shipping Company

MYI Multi-Year Ice

NAO North Atlantic Oscillation

NASA National Aeronautical and Space Administration

NAVICECEN Naval Ice Center

NAVOCEANO Naval Oceanographic Office

NCEP National Center for Environmental Prediction
NERSC Nansen Environmental and Remote Sensing Center

NESDIS National Environmental Satellite Data Information Service

NIB Nuclear IceBreaker NIC National Ice Center

NIERSC Nansen International Environmental and Remote Sensing

Centre

NOAA National Oceanographic and Atmospheric Administration

NORSEX NOrwegian Remote Sensing EXperiment

NPI Norwegian Polar Institute

NRT Near-Real Time

NSIDC National Snow and Ice Data Center

NSRA NSR Administration

NZN Novozemelsky northern ice massif NZS Novozemelsky southern ice massif

OY Ob'-Yenisey

PAF Processing and Archiving Facility (ESA)

xxx Abbreviations

PALSAR Phased Array L-band Synthetic Aperture Radar

PDF Probability Density Function

RAR Real Aperture Radar

RGPS Radarsat Geophysical Processor System
RICAE Riga Institute of Civil Aviation Engineers

RKA Russian Space Agency RMS Root Mean Square

RRMC Regional Radio-Meteorological Center

RT Real Time

SAR Synthetic Aperture Radar

SAT Surface Atmospheric Temperature SLAR Side-Looking Airborne Radar

SLP Sea Level Pressure SLR Side-Looking Radar

SMMR Scanning Multi-channel Microwave Radiometer

SRES Special Report on Emission Scenarios SSM/I Special Sensor Microwave/Imager

SST Sea Surface Temperature

SWKSouthWestern Kara Sea (SWK)SZESeverozemelsky eastern ice massifSZWSeverozemelsky western ice massif

TIFF Tagged Image File Format

TM Thematic Mapper

TSS Tromsø Satellite Station (now KSAT)

UGMS Yakutian Administration of the Hydrometeorological

Service

UL, ULA Classes of ice-strengthened ships

ULS Upward-Looking Sonar URL Uniform Resource Locator

VH Transmission is made in one polarization and receiving in

another

VH Vertical polarization

VPI Russian system for broadcasting of information

WMF Windows Meta File

WMO World Meteorological Organization

WS Wide-Swath

WSM Wide-Swath radar Mode on Envisat

WWW World Wide Web YY Yamalo-Yugorsky

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Introduction

This book covers a range of topics related to the monitoring of sea ice conditions in support of navigation in the Northern Sea Route (NSR). It includes the history of exploration of the Northern Sea Route, organization of sea ice monitoring, analysis of sea ice conditions, methodologies of satellite remote sensing of sea ice for supporting navigation and climatic variability of sea ice conditions in the Arctic Ocean and the Northern Sea Route. Use of the most advanced microwave techniques of sea ice monitoring—such as SAR data provided by the European *ERS-1*, *ERS-2*, *Envisat* and the Canadian *Radarsat* satellites—is specifically addressed.

Chapter 1 presents a brief history of Northern Sea Route exploration, the present period and future prospects for its use. During the 17th century the Russian Pomors and Cossacks sailed along the western and eastern parts of the Northeast Passage and discovered the Bering Strait. In the early 18th century the Great Northern Expedition mapped almost the entire coast of the Arctic Ocean. Many renowned expeditions were accomplished in the 19th century. Thus, Nordenskjöld onboard *Vega* passed for the first time through the entire NSR. De-Long attempted to reach the North Pole onboard *Jeannette*. Fridtjof Nansen's *Fram* sailed eastward through the Kara and Laptev Seas, where her transarctic drift started. Also, from the second half of the 19th century, trade shipping was developed in the Kara Sea for exporting Siberian mineral resources and importing industrial goods. By the beginning of the 20th century the whole Arctic coast had been discovered and studied, and navigation maps of Eurasian Arctic seas produced.

Studies and exploration of the Northern Sea Route continued during the Soviet period using icebreakers, aircraft, research vessels and a network of hydrometeorological stations. In 1932 the Northern Sea Route Administration was given the task of finally laying out the sea route from the White Sea to the Bering Strait, equipping and maintaining it in good state and ensuring safe navigation along it. Accordingly, a service of hydrometeorological support including sea ice monitoring for navigation was organized. In 1933 the *Sibiryakov* completed her voyage all along the NSR during

one navigation summer season. Since 1935, shipping operations—servicing the extensive industrial development in the Eurasian coastal Arctic area—were conducted according to the Soviet plan for cargo operations. Transport of goods in the Northern Sea Route continuously increased and during the late 1980s more than 6 million tons per year were shipped.

From July 1991 the NSR was officially declared open for non-Russian merchant vessels, and the French vessel *L'Astrolabe* was the first to pass through from west to east. However, after the Soviet period, much of the industrial production in the northern regions decreased and cargo turnover through the NSR was only 1.5–2.0 million tons after 1996.

However, the recent increase in oil and gas exploration and production and the associated increase in industrial activities in the areas of the far north of Russia will cause a further increase in transport in the NSR in the years to come, since the shelf area of the Arctic Ocean has 20–25% of the world's remaining oil and gas reserves. Therefore, safe operation in ice-infested waters in the NSR requires real time hydrometeorological and sea ice information of high quality and reliability.

Chapter 2 is devoted to sea ice conditions for the whole Arctic Ocean and in Eurasian Arctic Shelf seas. Significant seasonal changes in ice area in the Arctic Ocean occur in the marginal seas, with the seasonal maximum and minimum of ice extent in March–April and in September, respectively. Two major features of ice drift in the Arctic Ocean are the anticyclonic Beaufort Gyre and the transarctic ice flow between the North Pole and the northern margins of Eurasian Shelf seas, towards the Greenland Sea and Fram Strait.

Knowledge of sea ice conditions in Eurasian Arctic seas is essential for navigation in the Northern Sea Route and the offshore industry. Analysis of 50 years of observations from Russian polar stations and visual ice reconnaissance data allowed studying of ice formation and ice thickness growth, ice exchange between Eurasian Arctic seas and the Arctic Basin, distribution of fast ice, flaw polynyas and drifting ice. First-year ice prevails in Arctic Shelf seas; however, multi-year ice is often observed in the East Siberian Sea and in the northern parts of the Laptev and Kara Seas. On average, before the annual onset of ice formation, 80% of the eastern Laptev Sea and 85% of the southwestern Chukchi Sea are ice-free while the Barents Sea and the southwestern Kara Sea are almost ice-free. The northeastern Kara Sea and the western areas of the Laptev Sea and the East Siberian Sea become approximately 50% ice-free.

Chapter 3 addresses various aspects of sea ice monitoring and services in the NSR and in other Arctic countries. Soviet sea ice reconnaissance flights were made part of the hydrometeorological service for navigation at the beginning of the 1930s, and later on ice reconnaissance flights were made every 10 days more or less regularly during the entire navigation period. In the last decades of the 20th century various airborne remote-sensing techniques—such as side-looking airborne radars, radar video-impulse sea ice thickness meter, optical and radar satellite images—were implemented and widely used for operational support of shipping and compilation of weekly composite ice charts for the entire NSR. The first stage of an automated ice information system for the Arctic was accepted for commercial operation in 1989.

The Center for Ice and Hydrometeorological Information at the Arctic and Antarctic Research Institute (AARI) provides ice information to users in near-real time. They regularly issue ice charts and ice forecasts. With the end of regular airborne ice reconnaissance in the early 1990s, satellites became the main tool for acquisition of sea ice information.

To ensure safe operations in ice-covered seas operational monitoring of sea ice and icebergs has been developed in Arctic countries over several decades. The major ice services in the northern hemisphere are the U.S. National Ice Service, the Canadian Ice Service, the Danish Ice Service for Greenland waters, the Icelandic Ice Service and the Norwegian Ice Service, all of which are briefly described.

A study of users' requirements for sea ice information was done as part of the "Icewatch Project". The major user of sea ice information for navigation in the NSR is the Murmansk Shipping Company, being responsible for provision of icebreaker escort of merchant ice class cargo vessels and tankers. Depending on the purpose, tasks and objectives, ice information is divided into three main categories: strategic, operational and tactical. Analysis of different remote-sensing data concludes that *Envisat* and *Radarsat* SAR radar images, which can cover the entire area of the NSR in a 48-hour period, to a large extent satisfy users' requirements for support of navigation in sea ice, and therefore need to be routinely implemented in AARI's operational systems.

Chapter 4 deals with methodologies of satellite remote sensing of sea ice. Currently, Russian sea ice monitoring of the Northern Sea Route is based primarily on the optical and infrared images from *NOAA* and *Terra* satellites. Classification of sea ice parameters from these images is done, and derivation of ice thickness using IR images is also possible in the absence of clouds and for sea ice up to 120 cm thick.

The major principles of synthetic aperture radar (SAR) are described. Analysis of backscatter (σ^0) from sea ice shows that, at the C-band, surface scattering is predominant for young and first-year ice, as well as summer ice, while volume scattering is typical of old ice in winter conditions. Typical changes in radar backscatter from sea ice during winter are: low backscatter from grease and new ice and nilas; increase for pancake and gray ice; decrease in first-year ice; and, finally, increase for multi-year ice. Significant differences between the backscatter of the same ice types was found between sea ice at the ice edge and within the pack ice, but this was due to different roughness.

Signatures of the principal sea ice types and their expressions in *ERS*, *Radarsat* and *Envisat* SAR images have been specified and verified based on a series of subsatellite field experiments. The main sea ice parameters—including stages of ice development, forms of fast ice and pack ice, ice edge location, polynyas, ice surface features and icebergs—have been derived from radar images. Some ambiguities in their interpretation are caused by the similarity of signatures between different sea ice types and features. Techniques currently used for automatic classification of SAR images are presented. Furthermore, description of the methodology of ice chart compilation from satellite images and principles of sea ice mapping and generation of digital ice chart data are described. Examples of ice charts compiled from

different satellite sensor systems are presented including the use of Geographical Information System (GIS) tools.

Chapter 5 is devoted to studies of sea ice conditions in western and eastern parts of Eurasian Arctic seas. Regular use of satellite images for monitoring the Barents and Kara Seas began in the early 1970s, and after 1991 composite ice charts have been based on the optical and infrared range as well as side-looking radar (SLR) satellite imagery from the Russian *Okean* satellite. In spite of relatively coarse resolution they allow detection of ice edge, boundaries of landfast ice and polynyas and zones of different concentration and age with sufficient accuracy, including seasonal changes.

Satellite SAR data are used semi-operationally for studies of sea ice conditions in the NSR, and some results of these studies are described. The fast ice boundary in the western part of the Eurasian Russian Arctic and around Franz Josef Land was determined from *Radarsat* ScanSAR images for selected years. Furthermore, using *ERS* SAR and *Radarsat* ScanSAR images, the mean and standard deviations of polynya widths have been determined in the western Eurasian Arctic. Short-term, medium-term and long-term ice drift estimates were derived from successive satellite images for the Laptev Sea. The SAR imagery represents a unique capability for mapping ice drift in straits and near the coasts where other data sources provide limited information because of limited spatial resolution and cloudiness.

Chapter 6 deals with semi-operational use of SAR data for supporting ice navigation. Since 1991 the Nansen Centers in Bergen and St. Petersburg together with Murmansk Shipping Company have demonstrated the use of SAR data for planning and selection of the navigation route in sea ice. Ten expeditions are described. In these expeditions *ERS-1/2*, *Radarsat* and *Envisat* SAR images were transmitted to icebreakers in near-real time to help them navigate in sea ice. This experience proves that high-resolution light- and weather-independent SAR images can be effectively used for both strategic and tactical support of icebreaker operations in sea ice. In 2004–2005 *Envisat* ASAR images for the western part of the NSR were routinely transmitted every 3 days to the MOH at the Murmansk Shipping Company, which selected and transmitted sailing routes to the nuclear icebreakers. Even in relatively easy sea ice conditions during winter–spring 2005 the average speed of ships in ice increased by about 30–40% as a result of using SAR data for ice routing.

The methodology of using SAR data onboard icebreakers for their tactical navigation in sea ice was also tested in many of the expeditions. It includes processing SAR raw data to make images, transmission of the images to icebreakers in the Northern Sea Route and their use by captains for ship routing. Experience of using satellite imagery in the optical and infrared band onboard the icebreakers is also described. Here, particular attention is drawn to the present technique of NOAA and Meteor imagery presentation onboard icebreakers in the Electronic Chart Display and Information System (ECDIS) of ship navigation.

The future of sea ice monitoring in the NSR should combine use of remotesensing data in different spectral ranges, including routine use of SAR data, implementation of new information products for ice services and efficient distribution to users. Any future system of satellite monitoring for the purpose of ice navigation should primarily be based on satellite data with a spatial resolution of at least 50–100 m and subject to daily coverage.

Chapter 7 is devoted to the climatic variability of sea ice in the Arctic with the emphasis on the NSR. Long, continuous, observational data sets are necessary in order to investigate the long-term climatic fluctuations of sea ice. AARI data are the most comprehensive record for the Northern Sea Route and the greater Eurasian Arctic.

Overall, the interannual fluctuations of ice area in Eurasian Arctic seas during the 20th century indicate a gradual decrease through the century. However, interannual to multi-decadal variability in the ice cover area of the Arctic Ocean and its seas can also be characterized as having a quasi-periodic behavior on multiple time scales. AARI data analysis found these variations to be characterized by cyclic fluctuations with periods of 2–3, 5–7, 8–12 years and about 20 and 50–60 years, respectively.

Sea ice data sets derived from passive microwave data are among the longest continuous satellite-derived geophysical records, extending over the last quarter century. Analyses of merged SMMR–SSM/I data establish the decrease in Arctic sea ice area and extent (1978–2005) to be about $\sim 3\%$ per decade. Sea ice decrease in recent decades has been larger during summer, and September 2005 was the absolute minimum extent observed. A relatively large ($\sim 7\%$ per decade) reduction in the multiyear ice area was found for the same period.

Knowledge of the long-term variability of ice cover in the Arctic Ocean and its marginal seas is of crucial scientific importance for climate study development, but also of strategic importance for planning shipping and other types of economic activities—such as gas and oil production in the Arctic, particularly in the Northern Sea Route.

The AARI authors of this book present sea ice scenarios for the 21st century using statistical models based on observed climatic variability of sea ice cover in the 20th century and predict that the ice extent will vary with a dominant period of 50–60 years reaching maximums around 2030–2040 and 2090–2095, respectively, and minimums in-between.

On the other hand, the Norwegian group of authors (Johannessen *et al.*, 2004) predict—by use of two coupled global climate models—that a reduction in the ice extent of 80% will occur during the summer as a result a doubling of CO₂ in the atmosphere and 20% during wintertime. These predictions are also strongly supported by the ACIA (2004). However, internal variability of the climate system—as observed with the strong warming event that peaked around 1940 and caused a major reduction in sea ice extent—can upset these predictions (Johannessen *et al.*, 2004). Finally, an assessment is made on how future climate will affect ice conditions in the Northern Sea Route.

The **Afterword** discusses present and future situations regarding gas and oil production and reviews other industrial activities, including the future use of the Northern Sea Route.